

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Thomas P. CARTER et al.

Application No.: New U.S. Application

Filed: February 26, 2004

Docket No.: 115257

For: DENSIFIED HEAT TRANSFER TUBE BUNDLE

INFORMATION DISCLOSURE STATEMENT

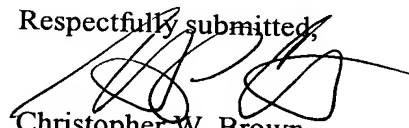
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. Relevance of references 1-12 is discussed in the present specification.
- ☒ 3. An English-language Abstract of the non-English language reference 12 is attached hereto.
- ☒ 4. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003, Notice waiving the requirements of 37 C.F.R. §1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.

Respectfully submitted,

  
Christopher W. Brown  
Registration No. 38,025

Stephen P. Catlin  
Registration No. 36,101

CWB:SPC/mlc  
Date: February 26, 2004

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE  
AUTHORIZATION  
Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461

|   |     |   |           |   |                 |   |  |
|---|-----|---|-----------|---|-----------------|---|--|
| Form PTO-1449<br>(REV. 8-83)  |     | US Dept. of Commerce<br>PATENT & TRADEMARK OFFICE |           | ATTY DOCKET NO.<br>115257               |                 | APPLICATION NO.<br>New U.S. Application |  |
| INFORMATION DISCLOSURE STATEMENT<br><br>(Use several sheets if necessary)   |     |   |           | APPLICANT(S)<br>Thomas P. CARTER et al. |                 |   |  |
|   |     |   |           | FILING DATE<br>February 26, 2004        |                 | GROUP                                   |  |
| U.S. PATENT DOCUMENTS   |     |   |           |   |                 |   |  |
| EXAMINER<br>INITIAL   |     | DOCUMENT NUMBER                                   | DATE      | NAME                                    | CLASS           | SUB<br>CLASS                            |  |
|   | 1.  | 2,752,124   | 6/26/1956 | NOFZIGER                                |                 |   |  |
|   | 2.  | 2,890,864   | 6/16/1959 | STUTZ                                   |                 |   |  |
|   | 3.  | 2,919,559   | 1/5/1960  | KOCH                                    |                 |   |  |
|   | 4.  | 3,148,516   | 9/15/1964 | KALS                                    |                 |   |  |
|   | 5.  | 3,800,553   | 4/2/1974  | ENGALITCHEFF JR.                        |                 |   |  |
|   | 6.  | 4,196,157   | 4/1/1980  | SCHINNER                                |                 |   |  |
|   | 7.  | 5,425,414   | 6/20/1995 | BRADLEY JR. et al.                      |                 |   |  |
|   | 8.  | 5,435,382   | 7/25/1995 | CARTER                                  |                 |   |  |
|   | 9.  | 5,799,725   | 9/1/1998  | BRADLEY JR. et al.                      |                 |   |  |
|   | 10. | 3,132,190   | 5/5/1964  | ENGALITCHEFF JR.                        |                 |   |  |
|   | 11. | 3,265,372   | 8/9/1966  | BRADLEY                                 |                 |   |  |
| FOREIGN PATENT DOCUMENTS  |     |   |           |   |                 |   |  |
|   |     | DOCUMENT NUMBER                                   | DATE      | COUNTRY                                 | CLASS           | SUB<br>CLASS                            |  |
|   | 12. | DE 34 13 999 A1 w/abstract                        | 11/7/1985 | GERMANY                                 |                 |   |  |
|   |     |   |           |   |                 |   |  |
|   |     |   |           |   |                 |   |  |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)  |     |   |           |   |                 |   |  |
|   |     |   |           |   |                 |   |  |
|   |     |   |           |   |                 |   |  |
|   |     |   |           |   |                 |   |  |
|   |     |   |           |   |                 |   |  |
|   |     |   |           |   |                 |   |  |
| EXAMINER  |     |   |           |   | DATE CONSIDERED |   |  |
| Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |     |   |           |   |                 |   |  |

Date: February 26, 2004